IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: M. A. Putnam et al.

Serial No.: 0 9 / 519,802

Group No.: 2874

Filed: March 6, 2000

Examiner: N/A

For: Tunable Optical Structure Featuring Feedback Control

Commissioner of Patents and Trademarks Washington, D. C. 20231

TRANSMITTAL OF FORMAL DRAWING(S) PRIOR TO NO

Attached please find the formal drawings for this application.

SIGNATURE OF ATTORNEY

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NOTE: "Identifying Indicia, if provided, should Include the application number or the title of the invention, inventor's name, docket number (if any), and the name and telephone number of a person to call if the Office is unable to match the drawings to the proper application. This Information should be placed on the back of each sheet of drawing a minimum distance of 1.5 cm. (5/8 inch) down from the top of the page." 37 C.F.R. 1.84(c)).

CERTIFICATE OF MAILING (37 CFR 1.8(a))

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Debra A. Pongetti

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WARNING: "Facsimile transmissions are not permitted and if submitted will not be accorded a date of receipt" for "(4) Drawings submitted under §§ 1.81, 1.83 through 1.85, 1.152, 1.165, 1.174, 1.437 . . .

.* 37 CFR 1.6(d)(4).

Transmittal of Formal Drawings [5-2])

712-002.082



7 HrtoDs \$ M. Brunson 4/30/01

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Tunable Optical Structure Featuring Feedback Control

Assistant Commissioner for Patents Washington, D.C. 20231

SUBMISSION OF PROPOSED DRAWING AMENDMENT FOR APPROVAL BY EXAMINER (37 CFR 1.123)

Attached please find

(check applicable items)

OK to Enter

□ a sketch in permanent ink,

a copy of the original drawing(s) with red ink markings,

showing the proposed changes to the drawing(s) in this application, for which the approval of the Examiner is requested.

SIGNATURE OF ATTORNEY

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NOTE: 37 CFR 1.123 indicates that "No change in the drawing may be made except by permission of the Office" and that "A sketch in permanent ink showing proposed changes to become part of the record, must be filed for approval by the Examiner and should be a separate paper."

NOTE: While drawings submitted under §§ 1.81, 1.83–1.85, 1.152, 1.165, 1.174, and 1.437 may not be filed by facsimile, proposed drawing corrections for approval may be submitted by facsimile transmission. Notice of Oct. 15, 1993, 58 Fed. Reg. 54,494–54,504, at 54,495.

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a)

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Debra A. Pongetti

(type or print name of person certifying)

... (Submission of Proposed Drawing Amendment for Approval by Examiner (37 CFR 1.123) [9-16]—page 1 of 1)